

ANNUAL REPORT 2017

PROGRESS AND PROMISE



SAMUEL WAXMAN CANCER
RESEARCH FOUNDATION

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The Samuel Waxman Cancer Research Foundation (SWCRF) is an international non-profit organization dedicated to curing and preventing cancer. The Foundation is a pioneer in cancer research, focusing on uncovering the causes of cancer and reprogramming cancer cells. Our mission is to eradicate cancer by funding cutting-edge research that identifies and corrects abnormal gene function that causes cancer. This research is the basis for developing minimally toxic treatments for patients.

Through our collaborative group of world-class scientists, the Institute Without Walls™, investigators share information and tools to speed the pace of cancer research. Since our inception in 1976, The Foundation has awarded nearly \$100 million to support the work of more than 200 researchers across the globe.

40
YEARS OF
COLLABORATION



SAMUEL WAXMAN CANCER
RESEARCH FOUNDATION

A YEAR OF PROGRESS AND PROMISE

Dear Friend,

During 2017, the Samuel Waxman Cancer Research Foundation (SWCRF) enjoyed much progress and promise in our fight to eradicate cancer.

Notably, our progress has included many advances in clinical trials of promising therapies resulting from SWCRF-funded research; the launch of the Partnership for Aging and Cancer program with the National Cancer Institute and National Institute on Aging; and the appointment of new leadership.

The driving force behind progress is our Institute Without Walls™, a practice of collaboration among cancer investigators across the world's top research institutes that we pioneered. The result is a formidable brain trust with a global reach. Often we hear from our investigators how much they appreciate and benefit from the ability to reach out across disciplines and research institutes.

Also, it is individuals like you who contribute to progress in cancer research. Your support is a critical part of our ability to fund early-stage and experimental research that often leads to breakthroughs, and attracts scientific interest from some of the top investigators across the globe.

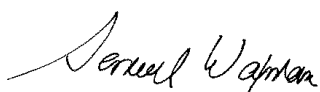
The good news that inspires us is that overall, cancer rates are declining. The sobering news that continues to motivate us is that cancer is a disease of aging, meaning that the longer we live, the higher the chances we have of developing cancer.

Together with you, our investigators and research collaborators, we are leading a cancer treatment revolution. Thank you for being our partner in this fight.

Very truly yours,



Michael Nierenberg
Chairman



Samuel Waxman, M.D.
Founder and CEO



William T. Sullivan
Executive Director



Michael Nierenberg



Samuel Waxman, M.D.



William T. Sullivan

COLLABORATING FOR A CURE IS A FAMILY AFFAIR



Kurz Family (l to r) Howard, Nancy, Charles and Emma

Cancer affects us all and the fight to find cures is personal not only for patients and their loved ones but the entire SWCRF community. One such family is Howard Kurz, Nancy Lewson Kurz, and their children, Emma and Charles Kurz. Mr. Kurz is the Founding Principal and Chief Investment Officer of Lily Pond Capital Management. Mrs. Lewson Kurz is Chief Executive Officer and Board Chair of Beginning with Children, a successful NYC charter school.

The Kurz family was initially drawn to the SWCRF to seek guidance from Dr. Samuel Waxman on behalf of a family member who was diagnosed with cancer. Eventually, Dr. Waxman approached Mr. Kurz about his interest in becoming personally involved.

“I think that I was so appreciative of the support he gave to help our family, it seemed an easy thing to say, ‘yes;’” said Mr. Kurz. He joined the SWCRF Board of Directors in 2012 and is a member of the SWCRF 40th Anniversary Capital Campaign Committee. The committee is raising \$32 million to sustain and grow the Foundation’s Institute Without Walls™ and the

Aging and Cancer program. The entire family supports the SWCRF efforts to advance cutting-edge cancer research that also includes hosting numerous events at their home.

“I’ve learned a tremendous amount about a disease that touches everyone either directly or indirectly. I think the more you learn about something like this, you recognize just how formidable the challenges are,” Mr. Kurz said. “There are dozens of research labs around the world, and the amount of money needed to fund them is just enormous. It has been an eye opener from that standpoint.”

Emma Kurz credits an internship as a research assistant with Dr. Waxman as the inspiration behind her interest in pursuing cancer research. Ms. Kurz is currently an M.D./Ph.D. candidate at NYU Langone Health School of Medicine and is a member of the lab of George Miller, M.D., who received research funding from the SWCRF this year.

Seeing his daughter’s career progression has also given Mr. Kurz another way to consider the importance of private research funding: “When you go into the lab, you get an appreciation for what goes on there: the scope of machinery; the number of mice; the technology that evolved in doing the most basic, compound testing; the processes.”

“I have learned a tremendous amount about a disease that really touches everyone either directly and indirectly.”

- Howard Kurz

To learn more about the impact of our collaborative research and how you can help, visit <https://www.waxmancancer.org/What-We-Do/Our-Impact>.



THE SWCRF INTRODUCES THE WILLIAM S. GORIN MEMORIAL CANCER RESEARCH PROGRAM

In 2017, the SWCRF established the William S. Gorin Memorial Cancer Research Program in memory of the inspirational business leader who died from pancreatic cancer. Through a groundbreaking collaborative effort, SWCRF awarded research grants that paired a leading investigator in immune therapy with an equally esteemed expert in genetics.

The two grant recipients are Nabeel Bardeesy, Ph.D., Associate Professor of Medicine at Harvard Medical School, and Assistant Geneticist at the Center for Cancer Research; and George Miller, M.D., H.L. Pachter Professor, Departments of Surgery and Cell Biology Leader, Cancer Immunology Program at the New York University Langone School of Medicine.



Nabeel Bardeesy, Ph.D.



George Miller, M.D.

Photos courtesy of Massachusetts General Hospital and NYU Langone

Dr. Bardeesy's work focuses on how gene alterations can affect the way that cancer cells react to particular treatments. His first grant from SWCRF was awarded in 2006 to study the role of SMAD4, a protein, in pancreatic function.

Dr. Miller is a leading tumor surgeon whose lab is one of the most successful in the nation for its immune function and cancers that affect the pancreas and liver.

Mrs. Jody Gorin, widow of Mr. Gorin, is leading efforts to raise additional support for the Program and in 2018, was appointed as a member of the SWCRF board of directors.

Both investigators see the SWCRF Institute Without Walls™ as a way to identify new answers at a faster pace. "Most pancreatic cancers don't respond to immunotherapy," Dr. Bardeesy said. "This partnership is allowing us to look at how genetic changes in a tumor can influence tumor immunology," said Dr. Miller.

"This collaboration is allowing us to be part of a fraternity of very accomplished investigators."

- George Miller, M.D.

For information about the William S. Gorin Memorial Cancer Research Program, visit <http://bit.ly/PancreaticCancerResearch> or call 212-867-4502.

THE POWER OF SWCRF COLLABORATION

THE SWCRF INSTITUTE WITHOUT WALLS FUNDS PROGRESS AND PROMISE



Cynthia A. Zahnow, M.S., Ph.D.

Stephen Baylin, M.D.

SWCRF INVESTIGATORS COLLABORATED TO IMPROVE OUTCOMES OF IMMUNOTHERAPY FOR OVARIAN AND LUNG CANCER

The cancer treatment revolution is underway and while immunotherapy, where the body's immune system is primed to kill cancer cells in some way, has made recent headlines with its promising results, only a small fraction of patients treated with immunotherapy alone, see a long-term response.

A collaboration of investigators funded by the SWCRF Institute Without Walls™, conducted research that improved the outcomes of immunotherapy. The research has resulted in two clinical trials that are now underway.

Stephen B. Baylin, M.D., Cancer Research Professor of Oncology and Medicine and Cynthia A. Zahnow, M.S., Ph.D. Associate Professor of Oncology, both at John Hopkins University School of Medicine, found in two separate studies that reprogramming DNA in combination with immunotherapy produced more significant results in suppressing cancer cells.

Dr. Zahnow demonstrated that a combination of platinum chemotherapy with immunotherapy resulted in greater reduction of tumor growth and significantly longer survival observed when drugs were used alone. The findings were published in the *Proceedings of the National Academy of Sciences* and offer a new way to strengthen the body's natural immune response. The results are being tested further in a clinical trial.

Dr. Baylin and his team have found that epigenetic drugs that produce gene reprogramming primed non-small cell lung cancers for a better response to immunotherapy.

A Phase-1 trial to study the effects of the combination is ongoing in patients with advanced, non-small cell lung cancer at John Hopkins with Memorial Sloan Kettering Cancer Center and Fox Chase Cancer Center.

The findings demonstrated that epigenetic therapy could change the behavior of cancer cells by reprogramming gene information and boosting immune responses. Understanding how to reprogram cancer cells is one of the overarching goals of the research SWCRF funds.

FINDINGS FROM SWCRF INVESTIGATORS IDENTIFY NEW TREATMENT TO PREVENT CANCER METASTASIS

Collaborative research funded by the SWCRF Institute Without Walls™ has discovered a crucial role played by immune cells in the formation of early-stage breast cancer metastasis. The research findings are a part of a more extensive research program focused on how to prevent cancer metastasis, the major cause of cancer death.

LEADING LEUKEMIA EXPERTS JOIN THE SWCRF SCIENTIFIC ADVISORY BOARD



Julio Aguirre-Ghiso, Ph.D.

Lead investigator Julio A. Aguirre-Ghiso, M.Sc., Ph.D. and his team including Eduardo Farias, Ph.D., collaborated with Miriam Merad, M.D., Ph.D., Professor of Oncological Science and of Medicine at the Icahn School of Medicine at Mount Sinai. They found that normal immune cells called macrophages located

in the breast, played a significant role in cancer cell development. These findings were published in the science journal *Nature Communications*.

Dr. Aguirre-Ghiso said that by studying miniaturized and simplified versions of breast tissue produced in the lab, he and his fellow investigators dramatically demonstrated that very early cancer lesions could be triggered to spread when accompanied by macrophages, before becoming clinically evident. This is an exciting new observation, which will allow scientists to develop new and targeted therapies designed to prevent or stop cancer metastasis.

The findings from this study could also eventually help pinpoint biomarkers to identify cancer patients that may be at risk of carrying sleeping metastatic cells due to these macrophages and potentially lead to the development of novel therapies that prevent early on cancer metastasis.

A longtime SWCRF investigator, Dr. Aguirre-Ghiso is Professor Of Oncological Sciences, Otolaryngology, Medicine, Hematology And Medical Oncology at The Tisch Cancer Institute at the Icahn School of Medicine at Mount Sinai.



Adolfo Ferrando, M.D., Ph.D.



Martin S. Tallman, M.D.

The SWCRF added two distinguished experts in leukemia to its Scientific Advisory Board (SAB) who together with the Foundation's scientific leaders, evaluate grant proposals submitted by scientists with NCI-approved research programs.

Adolfo Ferrando, M.D., Ph.D., Professor at the Institute for Cancer Genetics at Columbia University and Martin S. Tallman, M.D., Chief Of Leukemia Service at Memorial Sloan Kettering Cancer Center (MSK) were appointed to the now seven-member advisory board.

Dr. Ferrando's research has combined genomics, biochemical, genetic and experimental therapeutics approaches towards the identification of novel therapies for the treatment of high-risk leukemias and lymphomas.

Dr. Tallman is a top hematologic oncologist, an internationally recognized expert in caring for patients with acute and chronic leukemias has been at the forefront of several pivotal clinical trials that have led to new standards of care.

Visit <http://bit.ly/SABatSWCRF> for information about the SWCRF Scientific Advisory Board.

2017

YEAR IN REVIEW

40
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SAMUEL WAXMAN CANCER
RESEARCH FOUNDATION

APRIL 30



SWCRF scientists shared encouraging progress in their funded projects at the annual SWCRF Scientific Review held April 30 and May 1 at Icahn School of Medicine at Mount Sinai Medical Center.

JUNE 1



The SWCRF marked the 35th Anniversary of its Golf Tournament and Dinner hosted by Cynthia and Tony Shogren on June 1 at The Creek golf course in Locust Valley, NY, raising \$200,000 for SWCRF research.

MAY 17



The fourth annual Collaborating for a Cure Luncheon to benefit SWCRF was held at the Upper East Side residence of Andrea Stark on May 17 and featured a presentation of the Carolina Herrera pre-fall 2017 collection.

AUGUST 3



For the second consecutive year, the SWCRF rang the opening bell at NASDAQ in honor of A Hamptons Happening in the heart of New York City's Times Square on August 3.

AUGUST 5



The 13th Annual Hamptons Happening honored Bobbie Lloyd, Margaret Hayes, Gabriel Kreuther, Glenn Myles, and Shep Gordon at the Bridgehampton estate of Maria and Kenneth Fishel on August 5 and raised \$475,000 for SWCRF research.

OCTOBER 25



The Alice + Olivia by Stacey Bendet store on Madison Avenue hosted "For the Women We Love," a shopping event to benefit SWCRF breast cancer research on October 25.

OCTOBER 25



The Third Annual Blow Out Breast Cancer benefit for SWCRF sponsored by radio station 95.5PLJ and BELLA New York Magazine was held on October 25 at the Angelo David Salon in midtown Manhattan and Gumdrop Hairdressing in Montclair, NJ.

NOVEMBER 16



The 20th anniversary Collaborating for a Cure Benefit Dinner & Auction posthumously honored William S. Gorin, former CEO of MFA Financial, Inc., at Cipriani Wall Street on November 16, raising \$2.5 million for SWCRF research. Hosted by Chris Wragge, co-anchor of CBS 2 News This Morning, the event featured a performance by the Avett Brothers.

NOVEMBER 28



The Madison Avenue CH Carolina Herrera boutique hosted an event on November 28 to celebrate the newest book of poems by author Lauren Lawrence called "Side Effects," and benefit the SWCRF.

THANK YOU

The following donors have shared The Samuel Waxman Cancer Research Foundation's vision and belief in collaboration by generously funding the Foundation's Institute Without Walls in 2017.

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We have carefully reviewed all gifts made to the Samuel Waxman Cancer Research Foundation to ensure each gift is properly recognized. The Thank You list includes all gifts made between January 1, 2017 and December 31, 2017. Gifts mentioned exclude multiple year pledges. We make every effort to follow the donor's preferences when compiling the annual report list. If you would prefer to have your name listed differently in the future, please let us know. If we have made a mistake, we sincerely apologize for our error and ask you to bring it to our attention. Please contact Rachel Kramer at 646-398-5274 so the record may be corrected. Thank you.

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FINANCIAL STATEMENT

July 1, 2016 — June 30, 2017 audited

REVENUE

Contributions	\$332,000
Program Grants	697,000
Event Income	1,504,000
Investment Income	-1,000

Total Revenue **\$2,532,000**

EXPENSE

Program Services	1,601,000
Fundraising	599,000
Management and General	186,000

Total Expense **\$2,386,000**

Surplus **\$146,000**

SAMUEL WAXMAN CANCER RESEARCH FOUNDATION

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